

# RICHARD J. LEWIS III

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## CEO /PRESIDENT/ COO

Startup / Turn Around / Finance / Facilities Management / Real Estate / Venture Capital  
Strategic Planning / Budgeting / Negotiations / Risk Management / Project Management

Dynamic, creative executive with strong legal, corporate and real estate finance background. Innovator in leading startup companies or in “working out” complex financial municipal and corporate dealings with companies like Ecotechnology, Inc. and national law firms including Whitman Breed Abbott & Morgan and Mudge Rose Guthrie Alexander & Ferdon. Raised over \$100M in venture capital funding.

- **Recruited to EcoTechnology, immediately raised \$1.5M startup capital through a private placement.**
- **Built first successful full scale waste-to-energy wastewater treatment system in the world**
- **Successfully negotiated resolution to complex \$250M real estate transaction for UniCapital.**

**Key Skills:** Raising capital. Creative problem solving. Motivating effective cross-functional teams. Structuring and closing innovative and profitable transactions. Building strategic alliances. Negotiating complex transactions. Working effectively with public and private entities.

**JD, UOP, McGeorge School of Law. BA University of Wisconsin. CA Board Certified to practice law**

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## Select Accomplishments

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**Recruited to EcoTechnology to raise capital (\$22M before revenues earned) and bring new technology to marketplace:** Innovative waste-to-energy emerging growth company; built successful action plan for market penetration, including; patent filing to protect the proprietary technology; formation of strategic alliances with key industry players with complimentary technologies and goals; built key municipal alliances; established flagship facility; and developed DBOO and waste-to-energy marketing strategies.

**Built first successful waste-to-energy municipal wastewater treatment system in the world for EcoTechnology.** Identified City of Philadelphia as site for plant turning human waste into syngas. Negotiated with city for plant. Built first class facility showcasing technology and system. Raised over \$22M through private placements, equity venture capital, loans, equipment leases, grants, and joint ventures.

**Successfully negotiated win-win resolution to complex \$250M real estate transaction for Unicapital.** Texas real estate project was in default, placing loan collateral in jeopardy. Organized a meeting of all parties including an investment bank, a political consulting team, an engineering team and Turner Construction. Convinced all parties to restructure the transaction as a joint venture partnership. Potential loss turned into successful project.

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## Career Overview

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**CEO, EcoTechnology, Inc., 1996 to 2006.** Responsible for overseeing day-to-day activities of this waste-to-energy emerging growth company with a pilot facility in Philadelphia. Raised over \$20 million of equity capital through private placements.

**Managing Member, UniCapital Tahoe Fund, LLC, 1998 to 2003.** Managed all aspects of this alternative commercial lending company including risk identification, due diligence, loan assessment and quantification, loan documentation, third party contracts, legal compliance programs, and performance bonds.

**Senior Associate, Whitman Breed Abbott & Morgan, 1988 to 1995.** Supervised the municipal finance department of this national law firm’s satellite office. Duties included all aspects of the transaction including due diligence, deal structure, document preparation and closing the transaction.

**Junior Associate, Mudge Rose Guthrie Alexander & Ferdon, 1985 to 1988.** Work included primarily complex long-term municipal finance transactions. Other areas of practice included corporate and real estate finance transactions.